

TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371

X 5934USO

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

09/068592

INTERNATIONAL APPLICATION NO.

PCT/JP96/03344

INTERNATIONAL FILING DATE

14 November 1996

PRIORITY DATE CLAIMED

14 November 1995

## TITLE OF INVENTION

MATERIAL FOR NEGATIVE ELECTRODE OF LITHIUM SECONDARY BATTERY, ...

## APPLICANT(S) FOR DO/EO/US

MORITA, Koichi et al.

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4. ☒ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☒ has been transmitted by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☒ have been transmitted by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☐ have not been made and will not be made.
8. ☒ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☒ A translation of the annexes to the International Preliminary Examination Report under PCT Article 34 (35 U.S.C. 371(c)(5)). - (2) SETS - 11/17/97 + 11/12/98.

Items 11. to 16. below concern document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A **FIRST** preliminary amendment.  
☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
14. ☐ A substitute specification.
15. ☐ A change of power of attorney and/or address letter.
16. ☒ Other items or information:
  - a. Customer Number/Change of Address Letter
  - b.
  - c.
  - d.
- 16e. ☐ A copy of the Notification of Missing Requirements under 35 USC 371.
- 16f. ☐ In the event that a petition for extension of time is required to be submitted herewith, and in the event that a separate petition does not accompany this response, applicant hereby petitions under 37 CFR 1.136(a) for an extension of time as many as are required to render this submission timely. Any fee is authorized below in 17(c).

Date: 14 May 1998

PCT/JP96/03344

I/P5934USO

17. ☒ The following fees are submitted:

## BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5))

Search Report has been prepared by the EPO (or JPO) ..... \$ 930.00

International preliminary examination fee paid to USPTO (37 CFR 1.482) ..... \$ 720.00

No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee paid to USPTO (37 CFR 1.445(a)(2)) ..... \$ 790.00

Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO ..... \$1070.00

International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4) ..... \$ 98.00

ENTER APPROPRIATE BASIC FEE AMOUNT =

## CALCULATIONS PTO USE ONLY

\$ 930

\$

Surcharge of \$130.00 for furnishing the oath or declaration later than ☐ 20 ☐ 30 months from the earliest claimed priority date (37 CFR 1.492(e)).

\$ 374

\$ 164

\$

\$ 1468

\$

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE
Total claims	37 - 20 =	17	X \$22.00
Independent claims	05 - 3 =	02	X \$82.00
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$270.00
TOTAL OF ABOVE CALCULATIONS =			

Reduction of 1/2 for filing by small entity, if applicable. Verified Small Entity Statement must also be filed (Note 37 CFR 1.9, 1.27, 1.28).

SUBTOTAL =

\$ 1468

\$

Processing fee of \$130.00 for furnishing the English translation later than ☐ 20 ☐ 30 months from the earliest claimed priority date (37 CFR 1.492(f)).

\$ 1468

\$ 40

\$ 1508

Amount to be:

refunded

charged

\$

\$

a. ☒ A check in the amount of \$ 1508 to cover the above fees is enclosed.b. ☐ Please charge my Deposit Account No. 12-0555 in the amount of \_\_\_\_\_ to cover the above fees.c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit overpayment to Deposit Acct. No. 12-0555.

Note: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

Please address all correspondence to Thomas P. Sarro at the address (below) of Customer Number: 00881.

SEND ALL CORRESPONDENCE TO:

LARSON &amp; TAYLOR

TRANSPOTOMAC PLAZA

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Signature

B. Aaron Schulman

Name

31877

Registration

Date: 14 May 1998

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of )  
MORITA, et al. )  
Serial No.: New Application )  
Filed: On even date herewith )  
For: MATERIAL FOR NEGATIVE )  
ELECTRODE OF LITHIUM... )

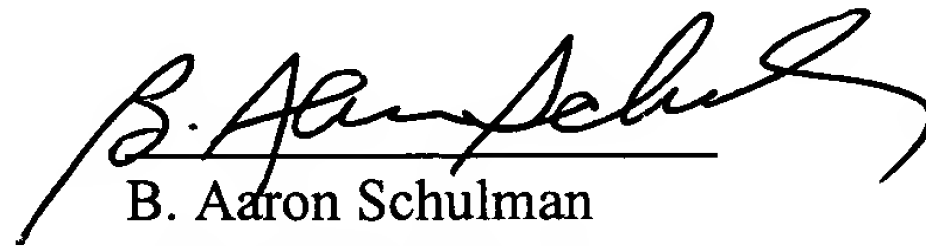
CUSTOMER NUMBER / CHANGE OF ADDRESS

Honorable Assistant Commissioner of Patents  
Washington, D. C.  
S I R:

Henceforth, please appoint the practitioners of LARSON & TAYLOR associated with the Customer Number provided below to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith, and direct all correspondence to that Customer Number.

**CUSTOMER NUMBER: 000881**

Respectfully submitted,



B. Aaron Schulman  
Registration No. 31,877

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May 14, 1998